

UJVN LIMITED

FORM NO. MGT.9

EXTRACT OF ANNUAL RETURN

As on the financial year ended on 31-03-2020

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:-

1.	CIN	U40101UR2001SGC025866
2.	Registration Date	12/02/2001
3.	Name of the Company	UJVN LIMITED
4.	Category/ Sub Category of the Company	Company limited by Shares / State Govt Company
5.	Address of the Registered office and contact details	UJJWAL, MAHARANI BAGH, DEHRADUN UTTARAKHAND 248006
6.	Whether listed company Yes / No	NO
7.	Name, Address and Contact details of Registrar and Transfer Agent, if any –	NIL

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Sl. No.	Name and Description of main products/ services	NIC Code of the Product/ service	% to total turnover of the company
1	Electric power generation, transmission and distribution	D1	100%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES -

S. NO	NAME AND ADDRESS OF THE COMPANY	CIN/GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% of shares held	Applicable Section
1	-----NIL-----				
2					

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year	No. of Shares held at the end of the year	% Change during the year
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Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
ii) Overseas b) Individuals i) Individual shareholders holding nominal share capital upto Rs. 1 lakh ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh c) Others (specify)	0	0	0	0	0	0	0	0	0%
Sub-total (B)(2):- Total Public Shareholding (B) = (B)(1) + (B)(2)									
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0	0	0	0%
Grand Total (A+B+C)	0	11788619	11788619	100%	0	12641834	12641834	100%	7%

(ii) Shareholding of Promoters

SIN o.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	
1	-----AS PER THE LIST OF SHAREHOLDING ATTACHED-----							
2								
3								

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company

Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	1,17,88,619	100%	1,17,88,619	100%
	Date wise Increase/ Decrease in Promoters Share holding during the year specifying the reasons for increase/decrease (e.g. allotment/transfer/bonus/ sweat equity etc):	17/05/2019	17/05/2019	17/05/2019	17/05/2019
	At the End of the year	1,26,41,834	100%	1,26,41,834	100%

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	For Each of the Top 10 Shareholders				
	At the beginning of the year	----- <i>NIL</i> -----			
	Date wise Increase/Decrease in Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/ bonus/sweat equity etc.):				
	At the End of the year (or on the date of separation, if separated during the year)				

(v) Shareholding of Directors and Key Managerial Personnel:

Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	For Each of the Directors and KMP				
	At the beginning of the year	----- <i>NIL</i> -----			
	Date wise Increase / Decrease in Shareholding during the year				

Sl. No.		Shareholding at the beginning of the year	Cumulative Shareholding during the year
	specifying the reasons for increase / decrease (e.g. Allotment / transfer / bonus/ sweat equity etc.):		
	At the End of the year		

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	9,01,80,25,207	5,31,96,75,812		14,33,77,01,019
ii) Interest due but not paid				
iii) Interest accrued but not due				
Total (i+ii+iii)				
Change in Indebtedness during the financial year				
• Addition	2,45,73,95,055	-		2,45,73,95,055
• Reduction	-	61,38,85,245		(61,38,85,245)
Net Change	2,45,73,95,055	(61,38,85,245)		1,84,35,09,810
Indebtedness at the end of the financial year	11,475,420,262	4,705,790,567		16,18,12,10,829
i) Principal Amount				
ii) Interest due but not paid				
iii) Interest accrued but not due				
Total (i+ii+iii)				

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Sl. no.	Particulars of Remuneration	Name of MD/WTD/ Manager			Total Amount
		Sandeep Singhal	Purushottam Singh	Suresh Chandra Baluni	
1.	Gross salary	5,56,854	31,92,378	25,29,018	1,05,66,340
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961				
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961				
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961				

2.	Stock Option				
3.	Sweat Equity				
4.	Commission - as % of profit - others, specify...				
5.	Others, please specify				
	Total (A)	5,56,854	31,92,378	25,29,018	1,05,66,340
	Ceiling as per the Act				

B. Remuneration to other directors:

Sl. no.	Particulars of Remuneration	Name of Directors					Total Amount
	1. Independent Directors						
	• Fee for attending board committee meetings • Commission • Others, please specify						
	Total (1)						
	2. Other Non-Executive Directors						
	• Fee for attending board committee meetings • Commission • Others, please specify						
	Total (2)						
	Total (B) = (1 + 2)						
	Total Managerial Remuneration						
	Overall Ceiling as per the Act						

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

Sl. no.	Particulars of Remuneration	Key Managerial Personnel			
		CEO	Company Secretary	CFO (Sudhakar Badoni)	Total
1.	Gross salary (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 (b) Value of perquisites u/s 17(2) Income-tax Act, 1961 (c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961			23,35,110	23,35,110
2.	Stock Option				
3.	Sweat Equity				
4.	Commission - as % of profit - others, specify...				

Sl. no.	Particulars of Remuneration	Key Managerial Personnel			
		CEO	Company Secretary	CFO (Sudhakar Badoni)	Total
5.	Others, please specify			23,35,110	23,35,110
	Total				

VII. PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty/ Punishment/ Compounding fees imposed	Authority [RD/NCL T/COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty					
Punishment			-----NIL-----		
Compounding					
B. DIRECTORS					
Penalty					
Punishment			-----NIL-----		
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty					
Punishment			-----NIL-----		
Compounding					
Punishment					
Compounding					

Shareholding pattern as on dated 31.03.2020

S.No	Name of Shareholder	No. of Shares (Face value Rs. 1,000/- each)	Amount of Share Capital
1	Hon'ble Governor of Uttarakhand	1,26,41,823	12,64,18,23,000
2	Smt. Radha Raturi	1	1,000
3	Sh. Amit Singh Negi	1	1,000
4	Sh. Shailesh Bhagauli	1	1,000
5	Smt. Saujanya	1	1,000
6	Capt. Alok Shekhar Tiwari	1	1,000
7	Sh. Dilip Javalkar	1	1,000
8	Sh. Bhupesh Chandra Tiwari	1	1,000
9	Sh. R. K Sudhanshu	1	1,000
10	Sh. Vinod Prasad Raturi	1	1,000
11	Sh. Ashish Kumar Srivastava	1	1,000
12.	Sh. Akshat Gupta	1	1,000
	TOTAL	1,26,41,834	12,64,18,34,000